

CHAPTER 7-8- GAMING FACILITY OPERATIONAL REVIEW BOARD

7-8-1 Purpose

The purpose of this Code is to establish a Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians (Tribes) Gaming Facility Operational Review Board (Board) for purposes of overseeing the operations of the Three Rivers Casino and Hotel.

7-8-2 Authority; Establishment

Article 1, Section 1 and Article VI, Section 2 of the Tribes' Constitution authorizes the Tribal Council to exercise executive authority. Tribal Council may establish boards or committees to assist the Tribal Council in carrying out its responsibilities to provide quality services to the tribal membership and to develop, maintain and protect the assets and interests of the Tribes. The Tribal Council hereby exercises this authority and establishes a Gaming Facility Operational Review Board.

7-8-3 Definitions

For purposes of this Chapter, the following terms shall have the following meanings:

- (a) "Class II Gaming" shall have that meaning set forth in the Indian Gaming Regulatory Act, 25 U.S.C. § 2701 et seq.
- (b) "Class III Gaming" shall have that meaning set forth in the Indian Gaming Regulatory Act, 25 U.S.C. § 2701 et seq.
- (c) "CTCLUSI" means the Confederated Tribes of Coos, Lower Umpqua, and Siuslaw Indians.
- (d) "CTCLUSI Gaming Commission" means the Gaming Commission established pursuant to Chapter 5-2 of the CTCLUSI Tribal Code.
- (e) "Executive Gaming Management" means, collectively, at least two (2) individuals who (a) have the customary authority and responsibilities associated with the positions of chief executive officer and chief financial officer, (b) have held a comparable position for at least thirty-six (36) months in one or more casinos located in the United States that are substantially the same as or exceed in size of gaming

floor and amenities those of the Gaming Facility, and (c) are licensed, qualified and found suitable by all appropriate Gaming Agencies.

(f) “Gaming Agencies” means the NIGC and the CTCLUSI Gaming Commission.

(g) “Gaming Assets” means (a) all real and personal property associated with the Gaming Business in which the Tribe has any right, title or interest, and (b) to the extent not included in (a), (i) all assets of the Tribe or any affiliate of the Tribe used in a Gaming Business, (ii) all cash, cash equivalents, receivables and other assets generated by the businesses or assets referred to in clauses (a) and (b)(i), and (iii) all proceeds, incomes and profits from any of the assets referred to in clauses (a) and (b) of this definition.

(h) “Gaming Business” shall mean, collectively, (a) any Class II Gaming or Class III Gaming conducted by or on behalf of the Tribe or any affiliate of the Tribe, and (b) except to the extent leased by the Tribe to a person that is not an affiliate of the Tribe, (i) all dining, food service and preparation, recreation, retail, entertainment, lodging, hospitality, transportation, or other trade, business or commercial activities, or non-gaming amenities conducted at the Gaming Facilities (but, for the avoidance of doubt, excluding any businesses located in a building or structure that is detached from the Gaming Facilities and that do not conduct, gaming entertainment, lodging or hospitality activities), and (ii) all dining, food service and preparation, recreation, retail, entertainment, lodging, hospitality, transportation, or other trade, business or commercial activities conducted at any hotel located at the Gaming Facility.

(i) “Gaming Facility” means the Three Rivers Casino and Resort Florence and the Three Rivers Casino Coos Bay.

(j) “National Indian Gaming Commission” or “NIGC” means the National Indian Gaming Commission as established by the Indian Gaming Regulatory Act, 25 U.S.C. § 2701 et seq.

(k) “Three Rivers Casino and Resort – Florence” means, collectively, the casino and hotel properties and related retail, dining, parking and entertainment facilities commonly known as the “Three Rivers Casino Florence” owned or operated by the Tribe or any affiliate of the Tribe, and any replacements, additions or improvements thereof, located on lands held in trust by the United States of America for the benefit of the Tribe in Lane County, Oregon (including any future improvements or expansions thereof).

(l) "Three Rivers Casino Resort - Coos Bay" means the Class II Gaming facility (and any related retail, dining, parking and entertainment facilities) commonly known as the "Three Rivers Casino Coos Bay" owned or operated by the Tribe or any affiliate of the Tribe, and any replacements, additions or improvements thereof, located on lands held in trust by the United States of America for the benefit of the Tribe in Coos County, Oregon (including any future improvements or expansions thereof).

~~7-8-3~~ 7-8-4 Membership

- (a) (a) The Board shall consist of a minimum of ~~three~~five (~~3~~5) members with relevant experience and skills as determined by the sole discretion of the Tribal Council. Membership shall be as follows:
- (1) ~~One~~At least one (1) member of Tribal Council; ~~and, who shall serve as a liaison to the Tribal Council and who shall report to Tribal Council each month;~~
 - (2) At least two members shall have Executive Gaming Management experience at Gaming Facilities that are not owned by the Tribes, or substantially equivalent experience as determined by Tribal Council, and;
 - ~~(2) — Two (2) members from the General Council.~~
 - ~~(3) Two at large positions for which there shall be no specific requirements.~~
- (b) (b) Board Members shall ~~be required to qualify for and maintain a~~obtain high security gaming ~~license~~licenses from the Gaming Commission; ~~prior to being seated on the Board.~~
- (c) Current employees of the Three Rivers Casino Resort – Florence, the Three Rivers Casino Resort - Coos Bay and the CTCLUSI Gaming Commission shall not be eligible to serve on the Board.

~~7-8-4~~ 7-8-5 Appointments and Oath of Office

The Tribal Council shall appoint the members of the Board. Members of the Board are required to take and file an oath of office at the first regular Tribal Council meeting following their appointment, administered by the Tribal Chief or Tribal Chairman or designee.

~~7-8-5~~ 7-8-6 Terms of Office

~~The Tribal Council Member shall serve so long as he or she remains in that position. The remaining two (2) members of the Board shall serve for four (4) year terms.~~ Board position terms shall be staggered as follows:

- (a) Position 1 shall be filled by a member of the Tribal Council, who will serve until their term ends on Tribal Council;
- (b) The first vacancy to be filled after December 15, 2019 shall be entitled Position 2.
The first person to fill this vacancy after December 15, 2019 shall serve one three year term, all subsequent Position 2 terms shall be four years.
- (c) The second vacancy to be filled after December 15, 2019 shall be entitled Position 3. The first person to fill this vacancy after December 15, 2019 shall serve one four year term, all subsequent Position 3 terms shall be four years.
- (d) The third vacancy to be filled after December 15, 2019 shall be entitled Position 4. The first person to fill this vacancy after December 15, 2019 shall serve one three year term, all subsequent Position 4 terms shall be four years.

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GAMING FACILITY OPERATIONAL REVIEW BOARD~~

- (e) The fourth vacancy to be filled after December 15, 2019 shall be entitled Position 5. The first person to fill this vacancy after December 15, 2019 shall serve one four year term, all subsequent Position 5 terms shall be four years.
- (f) Board Members serving in positions 2, 3, 4 and 5 may serve an additional six months, or longer, beyond their term, if necessary to prevent a vacancy on the Board.

~~7-8-6~~ 7-8-7 Duties

The Gaming Facility Operational Review Board shall:

- (a) Maintain oversight ~~of all facets~~ and protection of the ~~gaming facility~~ Gaming Business and Gaming Assets.
- (b) Supervise the Chief ~~Operating Officer, Vice President and/or General Manager of the Three Rivers Casino and Hotel.~~ Executive Officer and the Chief Financial Officer for the Tribe's Gaming Facilities, and make joint decisions with the Tribal Council regarding the hiring, promotion, termination and salary adjustments of the Chief Executive Officer and the Chief Financial Officer for the Gaming Facility. In making joint decisions, each member of Tribal Council and each member of the Board shall have one vote, provided that the Tribal Council Board member (Position 1) may only vote once. A majority of Tribal Council and Board votes shall decide the matter, provided that votes are cast by a quorum of Tribal Council and the Board.

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- (c) Make final decisions regarding the hiring, promotion, termination and salary adjustments of ~~all Primary Management Officials, as defined in § 5-2-3(r) of the Tribes' Gaming Code No. 30B, and all departmental directors, and all employees whose salary exceeds \$50,000 annually~~ for the Tribe's Gaming Facilities.
- (d) Authorize the execution of contracts for budgeted capital purchases for goods for the Tribe's Gaming Facilities that are in excess of ~~\$25,000.00,~~ 25,000.00 per annum, but less than ~~\$500,000.00,~~ 300,000.00 per annum, and which do not include a waiver of sovereign immunity or arbitration provisions. Transactions shall not be segregated to avoid authorization requirement.
- (e) ~~(d)~~ Authorize the execution of contracts for services ~~that do not exceed \$500,000~~ provided to the Tribe's Gaming Facilities that are in excess of \$25,000.00 per annum, but less than \$300,000.00 per annum and which do not include a waiver of sovereign immunity or arbitration provisions. ~~Contractual authority may be delegated to the Chief Operating Officer on such terms and conditions as the Board shall provide.~~ Transactions shall not be segregated to avoid authorization requirements.
- ~~(e) — Maintain oversight of any associated Economic Enterprises delegated by Tribal Council made subject to the provisions of this Code.~~
- (f) Provide financial information to the Tribal Governmental Chief Financial Officer upon Request.
- ~~(g) — (f) Report monthly to Tribal Council regarding all actions taken.~~ Provide a monthly written report to the Tribal Council which shall include the following: (1) financial statements for the prior month; (2) all purchases in excess of \$5,000 for the prior month; (3) updated player's data and associated metrics, and; (4) such other information as the Tribal Council may request.

~~7-8-7~~ 7-8-8 Appointment of Chairperson and Duties

The Board shall elect a Chairperson annually who shall

- (a) Issue notice of meetings;
- (b) ~~Preside at all meetings;~~ In consultation with the Chief Executive Officer and the Chief Financial Officer, prepare proposed agendas for all meetings;
- (c) Preside at all meetings;
- ~~(e)~~ (d) Ensure that minutes of all meeting are kept; and

~~(d)~~ (e) Make quarterly reports to the Tribal Council.

~~7-8-8~~ 7-8-9 Meetings

~~(a)~~ — The Board shall meet no less frequently than once per month and may establish a more frequent meeting schedule as required by business needs.

(a) ~~(b)~~ All regular meetings require three (3) days' written notice. Notice shall be delivered to each Board member by mail, facsimile or e-mail as established by the Board. Notice shall include the date, time, place and an agenda for the meeting.

(b) ~~(c)~~ Meetings shall generally be closed ~~but, except~~ to ~~General Council~~ members of the ~~Tribes; Tribal Council~~, however, the Board may invite guests to attend meetings as necessary to conduct business, and may also invite members of the General Council to attend meetings or portions of meetings that are intended to provide information about the Tribe's Gaming Business to the General Council.

(c) ~~(d)~~ The ~~General Manager and the Assistant General Manager shall be required to~~ Chief Executive Officer and the Chief Financial Officer for the Gaming Facilities shall attend ~~all meetings as a non-voting member~~ and will provide ~~an agenda and~~ documentation to review as necessary, which shall be provided to the Board no less than five days prior to meetings.

(d) ~~(e)~~ The Director of the CTCLUSI Gaming Commission or designee shall be required to attend ~~all meetings as a non-voting member~~ and will advise the Board regarding regulatory matters and the regulatory impacts of matters before the Board as necessary.

(e) ~~(f)~~ Special meetings may be called by any member of the Board when business must be done prior to the next regular meeting. No special meeting shall be held without twenty-four (24) hours' notice to each Board member unless waived in writing.

(f) ~~(g)~~ The Board may recess at its discretion to discuss any matter in closed or executive session: Provided, that the general subject matter to be discussed in such closed or executive session is expressed in the motion calling for such session. All personnel matters and all matters relating to the internal ~~activity~~ activities of Board members shall be discussed or decided only in closed session. A report of any decisions made related to such matters shall be included in the minutes.

~~7-8-9~~ 7-8-10 Confidentiality

Board Members must agree to sign a confidentiality agreement that states that they understand and agree that as a Board member they must hold as confidential all information learned in the course of their board member status concerning the

confidential business of the Tribes. Further, as a Board member, they understand that by signing the confidentiality agreement, they will not violate the confidentiality of any person(s) or provide confidential information or documents to anyone not authorized by the Tribes. If it has been determined that a Board member has violated this confidentiality agreement, that Board member will be removed as more fully stated below.

~~7-8-10~~ 7-8-11 Removal of Board Members

~~(a)~~ (a) By majority vote, the Tribal Council may remove any Board member ~~to include,~~ but not limited to for the following reasons:

- ~~(1)~~ (1) Overstepping (1) Acting, or attempting to act, beyond the scope of their authority;
 - ~~(2)~~ (2) Creating a disruptive atmosphere;
 - ~~(3)~~ (3) Violation of their confidentiality agreement; or
 - ~~(4)~~ (4) Violating Tribal law;
 - ~~(5)~~ (5) Lack of confidence, or;
 - ~~(6)~~ (6) For convenience
- ~~(b)~~ (b) A Board member shall automatically be removed ~~upon having been~~ if, during their term, they are found guilty of or ~~entered~~ enter a plea of no contest or guilty to any felony or misdemeanor offense under ~~Federal, State~~ federal, state or Tribal law.
- ~~(c)~~ (c) A Board member shall automatically be removed if, during their term, they fail to maintain suitability for a high security gaming license.

~~7-8-11~~ 7-8-12 Quorum

A majority of the Board members must be present, either in person or by telephonic or video participation, to constitute a quorum. Board action is valid only ~~when~~ by majority vote with a quorum ~~exists~~ present.

~~7-8-12~~ 7-8-13 Personal Conflicts of Interest

No Board member may vote on any action directly involving a member of his or her immediate family. A Board member may take part in discussion and count toward a quorum regarding action involving a member of his or her immediate family. Immediate family members include father, mother, adoptive mother, adoptive father, daughter, son, spouse, person in a spousal relationship, brother, sister, stepbrother and stepsister.

~~7-8-13~~ 7-8-14 Compensation

Authorized travel shall be paid subject to the travel requirements specified by Tribal Policy.

~~(a) — There shall be no compensation paid to Board members who are Tribal Council members.~~

(a) ~~(b) Board members who are not Tribal Council~~ members may be compensated for their services on the Board in an amount to be determined by Tribal Council at the time of appointment.

(b) ~~(c) Board members who are employees or officers~~ of the Tribes shall not be compensated in excess of their salary.

(c) Authorized travel shall be paid subject to the travel requirements specified by Tribal Policy.

~~7-8-14~~ 7-8-15 Rules; Records

The Board may adopt rules for the transaction of its business, subject to Tribal laws, and shall keep a record of its agendas, transactions and findings, which shall be a public record unless otherwise deemed confidential and not subject to public disclosure under applicable law.

~~7-8-15~~ 7-8-16 Severability

If a court of competent jurisdiction finds any provision of this Code to be invalid or illegal under applicable federal or ~~tribal~~ Tribal law, such provision shall be severed from this Code and the remainder of this Code shall remain in full force and effect.

APPENDIX A LEGISLATIVE HISTORY AND
EDITORIAL CHANGES

GAMING FACILITY OPERATIONAL REVIEW BOARD

LEGISLATIVE HISTORY AND EDITORIAL CHANGES

The Tribal Council of the Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians approved amendments to Chapter 7-8 Gaming Facility Operational Review Board for First Reading by Resolution – 19 - _____ on November 10, 2019. Vote was _____(for)_____ (against) and ____ (abstaining).

The Tribal Council of the Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians enacted the Amended Gaming Facility Operational Review Board Ordinance in Resolution – 09-057 on June 14, 2009, Ordinance #078B. Vote was 5 (for), 0 (against), and 2 (abstaining).

The Tribal Council of the Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians approved the Amended Gaming Facility Operational Review Board Ordinance in Resolution – 09-044 on April 12, 2009, Ordinance #078B. Vote was 7 (for), 0 (against), and 0 (abstaining).

The Tribal Council of the Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians enacted the Amended Gaming Facility Operational Review Board Ordinance in Resolution -07-112 on October 14, 2007, Ordinance #078A. Amended was 7-8-3 to require licensing of board members and 7-8-7(d) reflecting the current management structure of the Three Rivers Casino. Vote was 7 (for), 0 (against) and 0 (abstaining).

The Tribal Council of the Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians approved the Amended Gaming Facility Operational Review Board Ordinance in Resolution 07-094 on August 12, 2007, Ordinance #078A. Vote was 5 (for), 0 (against) and 1 (abstaining).

The Tribal Council of the Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians approved and enacted the Gaming Facility Operational Review Board Ordinance in Resolution 06-098 on July 31, 2006, Ordinance #078. Vote was 6 (for), 0 (against) and 0 (abstaining).

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THE CONFEDERATED TRIBES OF COOS, LOWER UMPQUA AND SIUSLAW INDIANS TRIBAL CODE

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| Format changed | 0 |
| Total changes | 181 |